

# MONEX GROUP

## Preliminary figures of consolidated financial results

TOKYO, October 22, 2013 – Monex Group, Inc. (TSE 8698, Oki Matsumoto, Representative Executive Officer and CEO) reported today the following preliminary quarterly consolidated financial results of Monex Group, Inc., for the 1<sup>st</sup> half of the fiscal year ending March 31, 2014 prepared in accordance with IFRSs. The formal announcement of the financial results is scheduled to be made on October 31, 2013.

Preliminary figures of financial results for the 1<sup>st</sup> half of the fiscal year ending March 31, 2014 (From April 1, 2013 to September 30, 2013)

(Unit: million yen)

	Total Operating revenue	Income before income taxes	Net income	Net income attributable to owners of Monex Group, Inc.
For the 1 <sup>st</sup> half ended September 30, 2013 (Preliminary figures)	29,200	11,000	6,700	6,700
For the 1 <sup>st</sup> half ended September 30, 2012 (Actual results)	14,989	1,328	1,264	1,251

The above preliminary figures are based on assumptions that Monex Group, Inc. considers reasonable based on current information and may result in different results with actual performance.

The financial results before quarters ended on or before December 31, 2012 were disclosed in accordance with the generally accepted accounting principles in Japan, but the above actual results show the figures prepared in accordance with IFRSs and now under review by independent auditors.

### 【Reference】

For the fiscal year ending March 31, 2014

(Unit: million yen)

	Total Operating revenue	Income before income taxes	Net income	Net income attributable to owners of Monex Group, Inc.
For the 2 <sup>nd</sup> quarter ended September 30, 2013 (Preliminary figures)	12,334	2,593	1,554	1,556
For the 1 <sup>st</sup> quarter ended June 30, 2013 (Actual results)	16,866	8,407	5,146	5,144

The above preliminary figures for the 2<sup>nd</sup> quarter (from July 2013 to September 2013) equal the preliminary figures for the 1<sup>st</sup> half of the fiscal year ending March 2014 (from April 2013 to September 2013) subtracted the actual figures of the 1<sup>st</sup> quarter for the fiscal year ending March 2014 (from April 2013 to June 2013).

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