

November 2013: Monthly Disclosure

TOKYO, December 4, 2013 – Monex Group, Inc. (TSE 8698, Oki Matsumoto, Representative Executive Officer and CEO) today reported the following monthly business metrics of its subsidiaries in November 2013.

Monex, Inc.

	Dec. 2012	Jan. 2013	Feb. 2013	Mar. 2013	Apr. 2013	May 2013	Jun. 2013	Jul. 2013	Aug. 2013	Sep. 2013	Oct. 2013	Nov. 2013
Number of business days	19	19	19	20	21	21	20	22	22	19	22	20

Number of Accounts (end of month)

Number of active accounts	820,164	834,752	838,075	841,944	857,983	863,547	867,835	870,738	873,053	875,743	877,852	881,135
Number of active margin accounts	39,418	40,727	41,392	42,140	43,130	44,443	44,843	45,518	45,911	46,374	46,726	47,092
Customers' assets in custody (in billion yen)	2,304.3	2,554.1	2,639.1	2,789.5	3,080.8	3,071.0	2,982.8	2,999.5	2,933.5	3,126.2	3,109.3	3,219.5

Stock Brokerage

Average number of trades per business day	Cash	60,411	85,591	90,116	98,099	125,323	144,274	86,514	87,832	67,772	87,924	81,963	83,026
	Margin	37,274	55,684	55,715	56,893	68,614	80,415	56,823	53,827	38,956	49,429	47,534	43,671
	Total	97,685	141,275	145,831	154,993	193,938	224,690	143,338	141,660	106,729	137,354	129,498	126,697
Average trade value (in thousand yen)	Cash	363	409	441	444	507	572	487	492	424	447	439	467
	Margin	787	983	1,108	948	1,059	1,198	1,120	1,139	891	915	841	1,048
	Total	525	635	696	629	702	796	738	738	595	615	587	667
Monthly trade value (in billion yen)		975.2	1,706.5	1,929.8	1,952.3	2,861.7	3,758.4	2,116.2	2,300.9	1,397.5	1,607.2	1,672.9	1,692.2
Margin balance [end of month](in billion yen)		116.9	130.0	149.8	170.1	185.0	203.0	190.1	196.1	183.4	181.5	191.4	195.9

FX Trading (OTC FX)

Number of OTC FX's active accounts (end of month)	52,762	52,998	53,069	52,735	66,545	66,314	66,027	65,648	65,242	64,833	64,355	63,824
Average trade value per business day (in million yen)	85,155	170,431	176,101	126,613	242,260	216,276	186,548	116,476	113,247	133,319	99,856	110,645

DARTs

Number of DARTs (per business day)	145,009	220,065	226,459	218,503	294,069	320,949	235,786	206,923	162,810	196,365	178,671	179,831
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(Notes about Monex, Inc.)

1. Monex, Inc. merged Sony Bank Securities Inc. as of Jan. 12, 2013.
2. An absorption-type demerger took place between Monex, Inc. and Monex FX, Inc. ("Monex FX"), and Monex, Inc. assumed Monex FX's customer accounts and related FX business as of Apr. 1, 2013.

(Notes about the number of accounts)

1. Active accounts (including margin accounts and FX accounts) mean the accounts with balance (margin balance or fund for collateral for margin accounts, fund for collateral for FX accounts) or the accounts which have traded more than once (including withdrawal) in the past 1 year.
2. The numbers of active accounts and margin active accounts as of Jan. 2013 and afterward exclude duplicate accounts at Monex, Inc. and ex-Sony Bank Securities Inc. and the numbers of active accounts as of Apr. 2013 and afterward exclude duplicates in accounts at Monex, Inc. and the FX accounts assumed by Monex, Inc. from Monex FX.
3. The number of ex-Sony Bank Securities Inc.'s active accounts (excluding duplicates with active accounts at Monex, Inc.) as of the end of Jan. 2013 was 12,373 and the number of the active margin accounts was 225.
4. The number of active accounts assumed from Monex FX (excluding duplicates with active accounts at Monex, Inc.) as of Apr. 1, 2013 was 11,589.

(Note about the customers' assets in custody)

Customers' assets in custody include securities that have been lent to Monex, Inc. through the securities lending service program.

(Note about stock brokerage)

"Stock Brokerage" data include stocks, ETFs and REITs traded in Japanese markets only.

(Notes about the number of OTC FX active accounts in FX Trading (OTC FX))

1. Monex, Inc. provides several services of FX Trading (OTC FX) and the number of FX active accounts exclude duplicate active accounts at Monex, Inc. from the gross total number of OTC FX active accounts.
2. The number of OTC FX active accounts assumed from Monex FX (excluding duplicates with active accounts at Monex, Inc.) as of Apr. 1, 2013 was 13,509.

(Note about the number of DARTs)

DARTs (Daily Average Revenue Trades) of Monex, Inc. comprise trades of stock brokerage, futures and options, OTC FX, OSE-FX, mutual funds, TFX-CFD and bullion (gold, silver & platinum).

TradeStation Group

	Dec. 2012	Jan. 2013	Feb. 2013	Mar. 2013	Apr. 2013	May 2013	Jun. 2013	Jul. 2013	Aug. 2013	Sep. 2013	Oct. 2013	Nov. 2013
Number of business days	19.5	21	19	20	22	22	20	21.5	22	20	23	19.5

(in million dollars)

Total client assets (end of month)	3,278	3,287	3,299	3,226	3,305	3,318	3,200	3,289	3,308	3,365	3,464	3,490
Average equities clients credit balance	1,130	1,136	1,152	1,160	1,148	1,162	1,152	1,158	1,159	1,172	1,169	1,205
Average equities margin clients debit balance	60	61	69	64	57	61	57	56	62	70	76	68

DARTs

Number of DARTs (per business day)	143,121	166,788	182,642	169,780	181,689	188,484	196,803	186,137	172,226	160,196	161,556	161,694
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Note) DARTs of TradeStation Group are the number of trades associated with revenue of subsidiary securities company and FX companies of TradeStation Group Inc. DARTs mainly include the DARTs of stocks, futures, options and OTC FX.

Global DARTs

Number of DARTs (per business day)	300,025	406,229	426,806	401,473	476,039	509,657	432,876	393,259	335,284	356,631	340,217	341,269
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Global FX Volume

Monthly trading value (in billion dollars)	41.6	70.3	75.9	60.0	79.9	75.2	65.5	54.9	50.8	47.4	40.8	40.3
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- Notes)
1. Global DARTs are the sum of the DARTs of subsidiary securities companies and FX companies of Monex Group, Inc.
 2. Global FX Volume are the sum of the trading volume of subsidiary securities companies and FX companies of Monex Group, Inc.

* The numbers reported above are what we have been reported from subsidiaries as of today and may be amended in the future.