

## September 2013: Monthly Disclosure

TOKYO, October 4, 2013 – Monex Group, Inc. (TSE 8698, Oki Matsumoto, Representative Executive Officer and CEO) today reported the following monthly business metrics of its subsidiaries in September 2013.

### Monex, Inc.

	Oct. 2012	Nov. 2012	Dec. 2012	Jan. 2013	Feb. 2013	Mar. 2013	Apr. 2013	May 2013	Jun. 2013	Jul. 2013	Aug. 2013	Sep. 2013
Number of business days	22	21	19	19	19	20	21	21	20	22	22	19

### Number of Accounts (end of month)

Number of active accounts	818,696	819,283	820,164	834,752	838,075	841,944	857,983	863,547	867,835	870,738	873,053	875,743
Number of active margin accounts	39,437	39,052	39,418	40,727	41,392	42,140	43,130	44,443	44,843	45,518	45,911	46,374
Customers' assets in custody (in billion yen)	2,059.6	2,139.9	2,304.3	2,554.1	2,639.1	2,789.5	3,080.8	3,071.0	2,982.8	2,999.5	2,933.5	3,132.5

### Stock Brokerage

Average number of trades per business day	Cash	37,775	45,320	60,411	85,591	90,116	98,099	125,323	144,274	86,514	87,832	67,772	87,924
	Margin	25,824	30,166	37,274	55,684	55,715	56,893	68,614	80,415	56,823	53,827	38,956	49,429
	Total	63,599	75,486	97,685	141,275	145,831	154,993	193,938	224,690	143,338	141,660	106,729	137,354
Average trade value (in thousand yen)	Cash	286	305	363	409	441	444	507	572	487	492	424	447
	Margin	613	575	787	983	1,108	948	1,059	1,198	1,120	1,139	891	915
	Total	419	413	525	635	696	629	702	796	738	738	595	615
Monthly trade value (in billion yen)	586.7	655.2	975.2	1,706.5	1,929.8	1,952.3	2,861.7	3,758.4	2,116.2	2,300.9	1,397.5	1,607.2	
Margin balance (End of month)(in billion yen)	102.9	101.0	116.9	130.0	149.8	170.1	185.0	203.0	190.1	196.1	183.4	181.5	

### FX Trading (OTC FX)

Number of OTC FX's active accounts (End of month)	53,325	52,704	52,762	52,998	53,069	52,735	66,545	66,314	66,027	65,648	65,242	64,833
Average trade value per business day (in million yen)	47,644	62,020	85,155	170,431	176,101	126,613	242,260	216,276	186,548	116,476	113,247	133,319

### DARTs

Number of DARTs (per business day)	108,259	117,741	145,009	220,065	226,459	218,503	294,069	320,949	235,786	206,923	162,810	196,365
------------------------------------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------

(Notes about Monex, Inc.)

1. Monex, Inc. merged Sony Bank Securities Inc. as of Jan. 12, 2013.
2. An absorption-type demerger took place between Monex, Inc. and Monex FX, Inc. ("Monex FX"), and Monex, Inc. assumed Monex FX's customer accounts and related FX business as of Apr. 1, 2013.

(Notes about the number of accounts)

1. Active accounts (including margin accounts and FX accounts) mean the accounts with balance (margin balance or fund for collateral for margin accounts, fund for collateral for FX accounts) or the accounts which have traded more than once (including withdrawal) in the past 1 year.
2. The numbers of active accounts and margin active accounts as of Jan. 2013 and afterward exclude duplicate accounts at Monex, Inc. and ex-Sony Bank Securities Inc. and the numbers of active accounts as of Apr. 2013 and afterward exclude duplicates in accounts at Monex, Inc. and the FX accounts assumed by Monex, Inc. from Monex FX.
3. The number of ex-Sony Bank Securities Inc.'s active accounts (excluding duplicates with active accounts at Monex, Inc.) as of the end of Jan. 2013 was 12,373 and the number of the active margin accounts was 225.
4. The number of active accounts assumed from Monex FX (excluding duplicates with active accounts at Monex, Inc.) as of Apr. 1, 2013 was 11,589.

(Note about the customers' assets in custody)

Customers' assets in custody include securities that have been lent to Monex, Inc. through the securities lending service program.

(Note about stock brokerage)

"Stock Brokerage" data include stocks, ETFs and REITs traded in Japanese markets only.

(Notes about the number of OTC FX active accounts in FX Trading (OTC FX))

1. Monex, Inc. provides several services of FX Trading(OTC FX) and the number of FX active accounts exclude duplicate active accounts at Monex, Inc. from the gross total number of OTC FX active accounts.
2. The number of OTC FX active accounts assumed from Monex FX (excluding duplicates with active accounts at Monex, Inc.) as of Apr. 1, 2013 was 13,509.

(Note about the number of DARTs)

DARTs (Daily Average Revenue Trades) of Monex, Inc. comprises trades of stock brokerage, futures and options, OTC FX, OSE-FX, mutual funds, TFX-CFD and bullion (gold, silver & platinum).

**TradeStation Group**

	Oct. 2012	Nov. 2012	Dec. 2012	Jan. 2013	Feb. 2013	Mar. 2013	Apr. 2013	May 2013	Jun. 2013	Jul. 2013	Aug. 2013	Sep. 2013
Number of business days	23	20.5	19.5	21	19	20	22	22	20	21.5	22	20

**(in million dollars)**

Total client assets (end of month)	2,907	3,052	3,278	3,287	3,299	3,226	3,305	3,318	3,200	3,289	3,308	3,365
Average equities clients credit balance	1,134	1,131	1,130	1,136	1,152	1,160	1,148	1,162	1,152	1,158	1,159	1,172
Average equities margin clients debit balance	57	60	60	61	69	64	57	61	57	56	62	70

**DARTs**

Number of DARTs (per business day)	153,775	165,317	143,121	166,788	182,642	169,780	181,689	188,484	196,803	186,137	172,226	160,196
---------------------------------------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------

Note) DARTs of TradeStation Group are the number of trades associated with revenue of TradeStation Group Inc.'s subsidiary securities company and FX companies. DARTs mainly includes the DARTs of stocks, futures, options and OTC FX.

**Global DARTs**

Number of DARTs (per business day)	271,240	294,002	300,025	406,229	426,806	401,473	476,039	509,657	432,876	393,259	335,284	356,631
---------------------------------------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------	---------

**Global FX Volume**

Monthly trading value (in billion dollars)	38.9	40.4	41.6	70.3	75.9	60.0	79.9	75.2	65.5	54.9	50.8	47.4
---	------	------	------	------	------	------	------	------	------	------	------	------

- Notes)
1. Global DARTs of Apr. 2013 and afterward include the DARTs of Monex, Inc., TradeStation Group and Monex Boom Securities (H.K.) Limited. DARTs of ex-Sony Bank Securities are included in Global DARTs up to Jan. 2013 and DARTs of Monex FX are included up to Mar. 2013.
  2. Global FX Volume of Apr. 2013 and afterward includes the trading volume of Monex, Inc. and TradeStation Group. Global FX Volume up to Mar. 2013 includes the trading volume of Monex FX.

\* The numbers reported above are what we have been reported from subsidiaries as of today and may be amended in the future.