

## May 2013: Monthly Disclosure

TOKYO, June 5, 2013 – Monex Group, Inc. (TSE 8698, Oki Matsumoto, Representative Director, Chairman and CEO) today reported the following monthly business metrics of its subsidiaries in May 2013.

### Monex, Inc.

	Jun. 2012	Jul. 2012	Aug. 2012	Sep. 2012	Oct. 2012	Nov. 2012	Dec. 2012	Jan. 2013	Feb. 2013	Mar. 2013	Apr. 2013	May 2013
Number of business days	21	21	23	19	22	21	19	19	19	20	21	21

### Number of Accounts (end of month)

Number of active accounts	814,887	816,030	817,112	818,283	818,696	819,283	820,164	834,752	838,075	841,944	857,983	863,547
Number of active margin accounts	40,966	40,980	40,260	39,748	39,437	39,052	39,418	40,727	41,392	42,140	43,130	44,443
Customers' assets in custody (in billion yen)	2,072.8	2,019.2	2,013.0	2,026.5	2,059.6	2,139.9	2,304.3	2,554.1	2,639.1	2,789.5	3,100.7	3,071.0

### Stock Brokerage

Average number of trades per business day	Cash	37,513	36,606	33,812	36,362	37,775	45,320	60,411	85,591	90,116	98,099	125,323	144,274
	Margin	24,883	23,759	22,254	24,240	25,824	30,166	37,274	55,684	55,715	56,893	68,614	80,415
	Total	62,397	60,365	56,067	60,603	63,599	75,486	97,685	141,275	145,831	154,993	193,938	224,690
Average trade value (in thousand yen)	Cash	281	280	298	309	286	305	363	409	441	444	507	572
	Margin	600	580	614	620	613	575	787	983	1,108	948	1,059	1,198
	Total	408	398	423	433	419	413	525	635	696	629	702	796
Monthly trade value (in billion yen)	535.8	505.3	546.4	499.5	586.7	655.2	975.2	1,706.5	1,929.8	1,952.3	2,861.7	3,758.4	
Margin balance (End of month)(in billion yen)	111.0	108.5	107.8	103.0	102.9	101.0	116.9	130.0	149.8	170.1	185.0	203.0	

### FX Trading (OTC FX)

Number of OTC FX's active accounts (End of month)	55,733	55,462	54,726	53,748	53,325	52,704	52,762	52,998	53,069	52,735	66,545	66,314
Average trade value per business day (in million yen)	68,430	48,155	43,807	50,911	47,644	62,020	85,155	170,431	176,101	126,613	242,260	216,276

### DARTs

Number of DARTs (per business day)	122,765	108,716	97,386	108,906	108,259	117,741	145,009	220,065	226,459	218,503	294,069	320,949
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(Notes about Monex, Inc.)

1. Monex, Inc. merged Sony Bank Securities Inc. as of Jan. 12, 2013.
2. An absorption-type demerger took place between Monex, Inc. and Monex FX, Inc. ("Monex FX"), and Monex, Inc. assumed Monex FX's customer accounts and related FX business as of Apr. 1, 2013.

(Notes about the number of accounts)

1. Active accounts (including margin accounts and FX accounts) mean the accounts with balance (margin balance or fund for collateral for margin accounts, fund for collateral for FX accounts) or the accounts which have traded more than once (including withdrawal) in the past 1 year.
2. The numbers of active accounts and margin active accounts as of Jan. 2013 and afterward exclude duplicate accounts at Monex, Inc. and ex-Sony Bank Securities Inc.
3. The number of ex-Sony Bank Securities Inc.'s active accounts as of the end of Jan. 2013 was 12,373 and the number of the active margin accounts was 225.
4. The number of active accounts assumed from Monex FX (excluding duplicates with active accounts at Monex, Inc.) as of Apr. 1, 2013 was 11,589.

(Note about the customers' assets in custody)

Customers' assets in custody include securities that have been lent to Monex, Inc. through the Securities Lending Service Program.

(Note about stock brokerage)

"Stock Brokerage" data include stocks, ETFs and REITs traded in Japanese markets only.

(Notes about the number of OTC FX active accounts in FX Trading (OTC FX))

1. Monex, Inc. provides several services of FX Trading(OTC FX) and the number of FX active accounts exclude duplicate active accounts at Monex, Inc. from the gross total number of OTC FX active accounts.
2. The number of OTC FX active accounts assumed from Monex FX (excluding duplicates with active accounts at Monex, Inc.) as of Apr. 1, 2013 was 13,509.

(Note about the number of DARTs)

DARTs (Daily Average Revenue Trades) of Monex, Inc. comprises trades of stock brokerage, futures and options, OTC FX, OSE-FX, mutual funds, TFX-CFD and bullion (gold, silver & platinum).

**TradeStation Group, Inc.**

	Jun. 2012	Jul. 2012	Aug. 2012	Sep. 2012	Oct. 2012	Nov. 2012	Dec. 2012	Jan. 2013	Feb. 2013	Mar. 2013	Apr. 2013	May 2013
Number of business days	21	20.5	23	19	23	20.5	19.5	21	19	20	22	22

**(in million dollars)**

Total client assets (end of month)	2,666	2,657	2,694	2,912	2,907	3,052	3,278	3,287	3,299	3,226	3,305	3,318
Average equities clients credit balance	1,123	1,100	1,088	1,104	1,134	1,131	1,130	1,136	1,152	1,160	1,148	1,162
Average equities margin clients debit balance	57	58	55	57	57	60	60	61	69	64	57	61

**DARTs**

Number of DARTs (per business day)	188,599	172,653	151,216	167,275	153,775	165,317	143,121	166,788	182,642	169,780	181,689	188,484
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- Notes)
1. DARTs of TradeStation Group, Inc. include its subsidiary securities company and FX companies of the daily average revenue trades of stocks, futures, options and forex.
  2. The numbers of TradeStation Group, Inc. are what we have been reported as of today, and there may be a possibility of modification in the future.

**Global DARTs**

Number of DARTs (per business day)	323,171	290,389	256,927	285,368	271,240	294,002	300,025	406,229	426,806	401,473	476,039	509,657
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**Global FX Volume**

Monthly trading value (in billion dollars)	53.3	41.6	38.2	38.3	38.9	40.4	41.6	70.3	75.9	60.0	79.9	75.2
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- Notes)
1. Global DARTs of Apr. 2013 and afterward include the DARTs of Monex, Inc., TradeStation Group, Inc. and Monex Boom Securities (H.K.) Limited. DARTs of ex-Sony Bank Securities are included in Global DARTs from Aug. 2012 to Jan. 2013 and DARTs of Monex FX are included up to Mar. 2013.
  2. Global FX Volume of Apr. 2013 and afterward includes the trading volume of Monex, Inc. and TradeStation Group, Inc. Global FX Volume up to Mar. 2013 includes the trading volume of Monex FX.
  3. The number of Global DARTs for Apr. 2013 was amended.

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