

March 2013: Monthly Disclosure

TOKYO, April 3, 2013 – Monex Group, Inc. (TSE 8698, Oki Matsumoto Representative Director, Chairman and CEO) today reported the following monthly business metrics of its subsidiaries in March 2013.

Monex, Inc.

	Apr. 2012	May 2012	Jun. 2012	Jul. 2012	Aug. 2012	Sep. 2012	Oct. 2012	Nov. 2012	Dec. 2012	Jan. 2013	Feb. 2013	Mar. 2013
Business day	20	21	21	21	23	19	22	21	19	19	19	20

Number of Accounts (end of month)

Number of active accounts	811,730	813,546	814,887	816,030	817,112	818,283	818,696	819,283	820,164	834,752	838,075	841,944
Number of active margin accounts	41,100	41,088	40,966	40,980	40,260	39,748	39,437	39,052	39,418	40,727	41,392	42,140
Customers' assets in custody (in billion yen)	2,127.5	1,967.0	2,072.8	2,019.2	2,013.0	2,026.5	2,059.6	2,139.9	2,304.3	2,554.1	2,639.1	2,789.5

Stock Brokerage

Average number of trades per business day	Cash	40,871	37,892	37,513	36,606	33,812	36,362	37,775	45,320	60,411	85,591	90,116	98,099
	Margin	26,141	23,614	24,883	23,759	22,254	24,240	25,824	30,166	37,274	55,684	55,715	56,893
	Total	67,012	61,506	62,397	60,365	56,067	60,603	63,599	75,486	97,685	141,275	145,831	154,993
Average trade value (in thousand yen)	Cash	306	275	281	280	298	309	286	305	363	409	441	444
	Margin	636	585	600	580	614	620	613	575	787	983	1,108	948
	Total	435	394	408	398	423	433	419	413	525	635	696	629
Monthly trade value (in billion yen)		583.1	509.3	535.8	505.3	546.4	499.5	586.7	655.2	975.2	1,706.5	1,929.8	1,952.3
Margin balance [End of month] (in billion yen)		116.9	108.4	111.0	108.5	107.8	103.0	102.9	101.0	116.9	130.0	149.8	170.1

FX Trading (OTC FX)

Number of active accounts (End of month)	54,475	55,119	55,733	55,462	54,726	53,748	53,325	52,704	52,762	52,998	53,069	52,735
Average trade value per business day (in million yen)	67,334	57,105	68,430	48,155	43,807	50,911	47,644	62,020	85,155	170,431	176,101	126,613

FX Trading (OSE-FX)

Number of active accounts (End of month)	6,328	6,260	6,214	5,636	4,798	3,702	3,255	3,028	2,889	2,807	2,716	2,645
Average trade value per business day (in million yen)	6,533	5,757	6,823	4,545	3,530	3,317	3,703	4,084	4,243	7,456	7,257	5,229

DARTs

Number of DARTs (per business day)	125,554	117,519	122,765	108,716	97,386	108,906	108,259	117,741	145,009	220,065	226,459	218,503
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(Notes about Monex, Inc.)

Monex, Inc. merged Sony Bank Securities Inc. as of Jan. 12, 2013.

(Notes about the number of accounts)

1. Active accounts (including margin accounts and FX accounts) mean the accounts with balance (margin balance or fund for collateral for margin accounts, fund for collateral for FX accounts) or the accounts which have traded more than once (including withdrawal) in the past 1 year.
2. The numbers of active accounts and margin active accounts as of Jan. 2013 and afterward exclude duplicate accounts at Monex, Inc. and ex-Sony Bank Securities Inc.
3. The number of ex-Sony Bank Securities Inc.'s active accounts as of the end of Jan. 2013 was 12,373 and the number of the active margin accounts was 225.

(Note about the customers' assets in custody)

Customers' assets in custody include securities that have been lent to Monex, Inc. through the Securities Lending Service Program.

(Note about stock brokerage)

"Stock Brokerage" data include stocks, ETFs and REITs traded in Japanese markets only.

(Note about the number of DARTs)

DARTs (Daily Average Revenue Trades) of Monex, Inc. comprises trades of stock brokerage, futures and options, OTC FX, OSE-FX, mutual funds, and TFX-CFD.

TradeStation Group, Inc.

	Apr. 2012	May 2012	Jun. 2012	Jul. 2012	Aug. 2012	Sep. 2012	Oct. 2012	Nov. 2012	Dec. 2012	Jan. 2013	Feb. 2013	Mar. 2013
Business day	20	22	21	20.5	23	19	23	20.5	19.5	21	19	20

(in million dollars)

Total client assets (end of month)	2,750	2,637	2,666	2,657	2,694	2,912	2,907	3,052	3,278	3,287	3,299	3,226
Average equities clients credit balance	1,121	1,119	1,123	1,100	1,088	1,104	1,134	1,131	1,130	1,136	1,152	1,160
Average equities margin clients debit balance	67	60	57	58	55	57	57	60	60	61	69	64

DARTs

Number of DARTs (per business day)	183,044	192,858	188,599	172,653	151,216	167,275	153,775	165,317	143,121	166,788	182,642	169,780
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- Notes)
1. DARTs of TradeStation Group, Inc. include its subsidiary securities company and FX companies of the daily average revenue trades of stocks, futures, options and forex.
 2. The numbers of TradeStation Group, Inc. are what we have been reported as of today, and there may be a possibility of modification in the future.

Global DARTs

Number of DARTs (per business day)	320,496	321,199	323,171	290,389	256,927	285,368	271,240	294,002	300,025	406,229	426,806	401,473
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Global FX Volume

Monthly trading value (in billion dollars)	46.9	49.0	53.3	41.6	38.2	38.3	38.9	40.4	41.6	70.3	75.9	60.0
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- Notes)
1. Global DARTs include the DARTs of Monex, Inc., Monex FX, Inc., TradeStation Group, Inc., Monex Boom Securities (H.K.) Limited and ex-Sony Bank Securities Inc. DARTs of ex-Sony Bank Securities were included in Global DARTs since August 2012.
 2. Global FX Volume includes the trading value of Monex, Inc., Monex FX, Inc. and TradeStation Group, Inc.

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