

November 2012: Monthly Disclosure

TOKYO, December 5, 2012 – Monex Group, Inc. (TSE 8698, Oki Matsumoto Representative Director, Chairman and CEO) today reported the following monthly business metrics of its subsidiaries in November 2012.

Monex, Inc.

	Dec. 2011	Jan. 2012	Feb. 2012	Mar. 2012	Apr. 2012	May 2012	Jun. 2012	Jul. 2012	Aug. 2012	Sep. 2012	Oct. 2012	Nov. 2012
Business day	21	19	21	21	20	21	21	21	23	19	22	21

Number of Accounts (end of month)

Number of active accounts	806,868	807,918	808,994	810,683	811,730	813,546	814,887	816,030	817,112	818,283	818,696	819,283
Number of active margin accounts	41,258	41,391	41,615	41,121	41,100	41,088	40,966	40,980	40,260	39,748	39,437	39,052
Customers' assets in custody (in billion yen)	1,954.8	2,016.6	2,176.0	2,204.8	2,127.5	1,967.0	2,072.8	2,019.2	2,013.0	2,026.5	2,059.6	2,139.9

Stock Brokerage

Average number of trades per business day	Cash	36,023	41,025	53,872	53,864	40,871	37,892	37,513	36,606	33,812	36,362	37,775	45,320
	Margin	21,958	26,988	34,935	31,264	26,141	23,614	24,883	23,759	22,254	24,240	25,824	30,166
	Total	57,981	68,013	88,808	85,128	67,012	61,506	62,397	60,365	56,067	60,603	63,599	75,486
Average trade value (in thousand yen)	Cash	287	297	331	327	306	275	281	280	298	309	286	305
	Margin	744	568	645	695	636	585	600	580	614	620	613	575
	Total	460	404	455	463	435	394	408	398	423	433	419	413
Monthly trade value (in billion yen)	561.0	523.3	849.2	827.7	583.1	509.3	535.8	505.3	546.4	499.5	586.7	655.2	
Margin balance [End of month] (in billion yen)	105.0	97.8	103.1	110.6	116.9	108.4	111.0	108.5	107.8	103.0	102.9	101.0	

FX Trading (OTC FX)

Number of active accounts (End of month)	54,014	53,910	53,902	54,102	54,475	55,119	55,733	55,462	54,726	53,748	53,325	52,704
Average trade value per business day (in million yen)	49,115	62,881	86,535	100,430	67,334	57,105	68,430	48,155	43,807	50,911	47,644	62,020

FX Trading (OSE-FX)

Number of active accounts (End of month)	6,697	6,632	6,574	6,419	6,328	6,260	6,214	5,636	4,798	3,702	3,255	3,028
Average trade value per business day (in million yen)	7,697	12,684	9,815	9,727	6,533	5,757	6,823	4,545	3,530	3,317	3,703	4,084

DARTs

Number of DARTs (per business day)	105,293	123,195	155,106	158,526	125,554	117,519	122,765	108,716	97,386	108,906	108,259	117,741
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(Notes about the number of accounts)

Active accounts (including margin accounts and FX accounts) mean the accounts with balance (margin balance or fund for collateral for margin accounts, fund for collateral for FX accounts) or the accounts which have traded more than once (including withdrawal) in the past 1 year.

(Note about the customers' assets in custody)

Customers' assets in custody include securities that have been lent to Monex, Inc. through the Securities Lending Service Program.

(Note about stock brokerage)

"Stock Brokerage" data include stocks, ETFs and REITs traded in Japanese markets only.

(Note about the number of DARTs)

DARTs (Daily Average Revenue Trades) of Monex, Inc. comprises trades of stock brokerage, futures and options, OTC FX, OSE-FX, mutual funds, and TFX-CFD.

TradeStation Group, Inc.

	Dec. 2011	Jan. 2012	Feb. 2012	Mar. 2012	Apr. 2012	May 2012	Jun. 2012	Jul. 2012	Aug. 2012	Sep. 2012	Oct. 2012	Nov. 2012
Business day	21	20	20	22	20	22	21	20.5	23	19	23	20.5

(in million dollars)

Total client assets (end of month)	2,601	2,667	2,734	2,770	2,750	2,637	2,666	2,657	2,694	2,912	2,907	3,052
Average equities clients credit balance	1,133	1,132	1,139	1,141	1,121	1,119	1,123	1,100	1,088	1,104	1,134	1,131
Average equities margin clients debit balance	50	46	55	66	67	60	57	58	55	57	57	60

DARTs

Number of DARTs (per business day)	176,448	191,851	209,000	186,869	183,044	192,858	188,599	172,653	151,216	167,275	153,775	165,317
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- Notes)
1. DARTs of TradeStation Group, Inc. include its subsidiary securities company and FX companies of the daily average revenue trades of stocks, futures, options and forex.
 2. The numbers of TradeStation Group, Inc. are what we have been reported as of today, and there may be a possibility of modification in the future.

Global DARTs

Number of DARTs (per business day)	291,631	326,782	378,413	361,105	320,496	321,199	323,171	290,389	256,927	285,368	271,240	294,002
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Global FX Volume

Monthly trading value (in billion dollars)		53.9	62.5	62.4	46.9	49.0	53.3	41.6	38.2	38.3	38.9	40.4
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- Notes)
1. Global DARTs include the DARTs of Monex, Inc., Monex FX, Inc., TradeStation Group, Inc., Monex Boom Securities (H.K.) Limited and Sony Bank Securities Inc. DARTs of Sony Bank Securities were included in Global DARTs since August 2012.
 2. Global FX Volume includes the trading value of Monex, Inc., Monex FX, Inc. and TradeStation Group, Inc.

Contact: Michiyo Kubota
Corporate Communications
Monex Group, Inc.
+81-3-4323-8698