

September 2012: Monthly Disclosure

TOKYO, October 4, 2012 – Monex Group, Inc. (TSE 8698, Oki Matsumoto Representative Director, Chairman and CEO) today reported the following monthly business metrics of its subsidiaries in September 2012.

Monex, Inc.

	Oct. 2011	Nov. 2011	Dec. 2011	Jan. 2012	Feb. 2012	Mar. 2012	Apr. 2012	May 2012	Jun. 2012	Jul. 2012	Aug. 2012	Sep. 2012
Business day	20	20	21	19	21	21	20	21	21	21	23	19

Number of Accounts (end of month)

Number of active accounts	805,320	806,096	806,868	807,918	808,994	810,683	811,730	813,546	814,887	816,030	817,112	818,283
Number of active margin accounts	41,391	41,233	41,258	41,391	41,615	41,121	41,100	41,088	40,966	40,980	40,260	39,748
Customers' assets in custody (in billion yen)	2,025.8	1,951.8	1,954.8	2,016.6	2,176.0	2,204.8	2,127.5	1,967.0	2,072.8	2,019.2	2,013.0	2,026.5

Stock Brokerage

Average number of trades per business day	Cash	35,033	35,858	36,023	41,025	53,872	53,864	40,871	37,892	37,513	36,606	33,812	36,362
	Margin	25,165	22,431	21,958	26,988	34,935	31,264	26,141	23,614	24,883	23,759	22,254	24,240
	Total	60,199	58,289	57,981	68,013	88,808	85,128	67,012	61,506	62,397	60,365	56,067	60,603
Average trade value (in thousand yen)	Cash	331	304	287	297	331	327	306	275	281	280	298	309
	Margin	1,051	891	744	568	645	695	636	585	600	580	614	620
	Total	632	530	460	404	455	463	435	394	408	398	423	433
Monthly trade value (in billion yen)	761.7	618.1	561.0	523.3	849.2	827.7	583.1	509.3	535.8	505.3	546.4	499.5	
Margin balance [End of month] (in billion yen)	112.8	116.2	105.0	97.8	103.1	110.6	116.9	108.4	111.0	108.5	107.8	103.0	

FX Trading (OTC FX)

Number of active accounts (End of month)	53,836	54,119	54,014	53,910	53,902	54,102	54,475	55,119	55,733	55,462	54,726	53,748
Average trade value per business day (in million yen)	101,861	86,702	49,115	62,881	86,535	100,430	67,334	57,105	68,430	48,155	43,807	50,911

FX Trading (OSE-FX)

Number of active accounts (End of month)	6,787	6,736	6,697	6,632	6,574	6,419	6,328	6,260	6,214	5,636	4,798	3,702
Average trade value per business day (in million yen)	12,217	11,841	7,697	12,684	9,815	9,727	6,533	5,757	6,823	4,545	3,530	3,317

DARTs

Number of DARTs (per business day)	139,559	127,896	105,293	123,195	155,106	158,526	125,554	117,519	122,765	108,716	97,386	108,906
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(Notes about the number of accounts)

Active accounts (including margin accounts and FX accounts) mean the accounts with balance (margin balance or fund for collateral for margin accounts, fund for collateral for FX accounts) or the accounts which have traded more than once (including withdrawal) in the past 1 year.

(Note about the customers' assets in custody)

Customers' assets in custody include securities that have been lent to Monex, Inc. through the Securities Lending Service Program.

(Note about stock brokerage)

"Stock Brokerage" data include stocks, ETFs and REITs traded in Japanese markets only.

(Note about the number of DARTs)

DARTs (Daily Average Revenue Trades) of Monex, Inc. comprises trades of stock brokerage, futures and options, OTC FX, OSE-FX, mutual funds, and TFX-CFD.

TradeStation Group, Inc.

	Oct. 2011	Nov. 2011	Dec. 2011	Jan. 2012	Feb. 2012	Mar. 2012	Apr. 2012	May 2012	Jun. 2012	Jul. 2012	Aug. 2012	Sep. 2012
Business day	21	20.5	21	20	20	22	20	22	21	20.5	23	19

(in million dollars)

Total client assets (end of month)	2,634	2,639	2,601	2,667	2,734	2,770	2,750	2,637	2,666	2,657	2,694	2,912
Average equities clients credit balance	1,160	1,150	1,133	1,132	1,139	1,141	1,121	1,119	1,123	1,100	1,088	1,104
Average equities margin clients debit balance	51	52	50	46	55	66	67	60	57	58	55	57

DARTs

Number of DARTs (per business day)	151,937	239,108	176,448	191,851	209,000	186,869	183,044	192,858	188,599	172,653	151,216	167,275
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- Notes)
1. DARTs of TradeStation Group, Inc. include its subsidiary securities company and FX companies of the daily average revenue trades of stocks, futures, options and forex.
 2. The numbers related to FX services provided by IBFX Group which was acquired as of November 15, 2011 were included since November 2011. Concerning the number of DARTs of November 2011, we divided the number of IBFX from November 15 to 30 by 10.5 business days in the same period.
 3. The numbers of TradeStation Group, Inc. are what we have been reported as of today, and there may be a possibility of modification in the future.

Global DARTs

Number of DARTs (per business day)	310,383	383,549	291,631	326,782	378,413	361,105	320,496	321,199	323,171	290,389	256,927	285,368
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Global FX Volume

Monthly trading value (in billion dollars)				53.9	62.5	62.4	46.9	49.0	53.3	41.6	38.2	38.3
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- Notes)
1. Global DARTs include the DARTs of Monex, Inc., Monex FX, Inc., TradeStation Group, Inc., Monex Boom Securities (H.K.) Limited and Sony Bank Securities Inc. DARTs of Sony Bank Securities were included in Global DARTs since August 2012.
 2. Global FX Volume includes the trading value of Monex, Inc., Monex FX, Inc. and TradeStation Group, Inc.

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