

November 2011: Monthly Disclosure

TOKYO, December 5, 2011 – Monex Group, Inc. (TSE 8698, Oki Matsumoto Representative Director, Chairman and CEO) today reported the following monthly business metrics of its subsidiaries in November 2011.

Monex, Inc.

	Dec. 2010	Jan. 2011	Feb. 2011	Mar. 2011	Apr. 2011	May 2011	Jun. 2011	Jul. 2011	Aug. 2011	Sep. 2011	Oct. 2011	Nov. 2011
Business day	21	19	19	22	20	19	22	20	23	20	20	20

Number of Accounts (end of month)

Number of accounts	1,202,760	1,205,508	1,207,730	1,210,778	1,213,939	1,217,279	1,218,762	1,221,398	1,224,978	1,227,624		
Number of active accounts											805,320	806,096
Number of margin accounts	73,989	74,293	74,505	74,962	75,257	75,518	75,677	75,759	75,916	76,160		
Number of active margin accounts											41,391	41,233
Customers' assets in custody (in billion yen)	2,195.6	2,221.7	2,297.5	2,176.9	2,158.4	2,128.7	2,157.7	2,141.8	2,042.1	1,992.4	2,025.8	1,951.8

Stock Brokerage

Average number of trades per business day	Cash	39,274	46,666	48,688	62,675	40,067	38,976	37,900	40,189	41,628	36,021	35,033	35,858
	Margin	28,604	33,299	33,367	37,971	27,997	24,435	23,172	24,169	24,393	23,253	25,165	22,431
	Total	67,878	79,965	82,056	100,647	68,065	63,411	61,073	64,359	66,022	59,274	60,199	58,289
Average trade value (in thousand yen)	Cash	377	392	424	403	360	329	315	361	335	307	331	304
	Margin	769	834	945	941	872	686	675	700	826	912	1,051	891
	Total	542	576	636	606	571	467	451	488	517	544	632	530
Monthly trade value (in billion yen)	773.2	875.6	992.2	1,343.4	777.5	562.7	607.1	628.8	785.4	645.5	761.7	618.1	
Margin balance [End of month] (in billion yen)	123.8	134.5	142.4	113.6	117.6	121.4	120.7	118.0	116.3	120.5	112.8	116.2	

FX Trading (OTC FX)

Number of accounts (End of month)	143,412	144,528	118,742	120,192	121,231	122,329	123,143	125,668	128,538	129,567		
Number of active accounts (End of month)											53,836	54,119
Average trade value per business day (in million yen)	94,024	94,055	91,992	107,978	100,047	90,679	63,879	61,666	126,929	107,151	101,861	86,702

FX Trading (OSE-FX)

Number of active accounts (End of month)											6,787	6,736
Average trade value per business day (in million yen)											12,217	11,841

DARTs

Number of DARTs (per business day)								124,367	158,666	142,434	139,559	127,896
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(Notes about the number of accounts)

1. The number of accounts from December 2010 includes accounts that trade only by the phone. The number of accounts from June 2011 is the number of issued login IDs.
2. Duplicated accounts taken over from OSC. 100,155 securities accounts (including 1,607 margin accounts) were closed in December 2010.
3. 1,043 duplicated accounts were closed in June 2011, and the numbers in the table reflect the closures.
4. Decrease in the number of FX trading accounts in February 2011 is due to the termination of ORIX FX service.
5. Active accounts (including margin accounts and FX accounts) mean the accounts with balance (margin balance or fund for collateral for margin accounts, fund for collateral for FX accounts) or the accounts which have traded more than once (including withdrawal) in the past 1 year.

(Note about the customers' assets in custody)

Customers' assets in custody include securities that have been lent to Monex, Inc. through the Securities Lending Service Program.

(Note about stock brokerage)

1. "Stock Brokerage" data include stocks, ETFs and REITs traded in Japanese markets only.
2. Average number of trades per business day, average trade value and monthly trade value of October 2011 were incorrect, and amended.

(Note about the number of DARTs)

DARTs (Daily Average Revenue Trades) of Monex, Inc. comprises trades of stock brokerage, futures and options, OTC FX, OSE-FX, mutual funds, and TFX-CFD.

TradeStation Securities, Inc. and TradeStation Forex, Inc.

	Dec. 2010	Jan. 2011	Feb. 2011	Mar. 2011	Apr. 2011	May 2011	Jun. 2011	Jul. 2011	Aug. 2011	Sep. 2011	Oct. 2011	Nov. 2011
Business day	22	20	19	23	20	21	22	20	23	21	21	20.5

(in million dollars)

Total client assets (end of month)	2,361	2,358	2,388	2,443	2,531	2,540	2,494	2,506	2,493	2,423	2,634	2,591
Average equities clients credit balance	949	985	1,007	1,019	1,041	1,059	1,082	1,105	1,095	1,120	1,160	1,150
Average equities margin clients debit balance	67	66	66	75	75	69	69	63	66	64	51	52

DARTs

Number of DARTs (per business day)	64,990	83,737	84,760	87,944	77,654	81,842	79,002	136,121	176,933	166,435	151,937	142,973
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- Note)
1. DARTs of TradeStation Securities, Inc. and TradeStation Forex, Inc. include the daily average revenue trades of stocks, futures, options and forex.
 2. The calculation methods of DARTs at TradeStation Securities, Inc. and TradeStation Forex, Inc. have been changed from July 2011 so that the methods are consistent with those of Monex, Inc.
 3. DARTs of TradeStation Securities, Inc. and TradeStation Forex, Inc. from July 2011 to October 2011 were partly incorrect, and amended.
 4. The numbers of TradeStation Securities, Inc. and TradeStation Forex, Inc. are what we have been reported as of today, and there may be a possibility of modification in the future.

Global DARTs

Number of DARTs (per business day)								277,913	355,022	327,334	310,383	287,414
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- Note)
1. Global DARTs include the DARTs of Monex, Inc., Monex FX, Inc., TradeStation Securities, Inc., TradeStation Forex, Inc. and Monex Boom Securities (H.K.) Limited.
 2. Global DARTs from July 2011 to October 2011 were amended, as DARTs of TradeStation Securities, Inc. and TradeStation Forex, Inc. were amended.

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