

## (Amendment) September 2011: Monthly Disclosure

TOKYO, October 12, 2011 – Monex Group, Inc. (TSE 8698, Oki Matsumoto Representative Director, Chairman and CEO) today announced that its "September 2011: Monthly Disclosure" disclosed on October 5, 2011 was partly incorrect, and amended as follows. The number of Average trade amount per business day (in million yen) of FX Trading (OTC only) in Monex, Inc. in September 2011 was amended from 93,175 million yen to 107,151 million yen. The amended number has been marked with underline.

### Monex, Inc.

	Oct. 2010	Nov. 2010	Dec. 2010	Jan. 2011	Feb. 2011	Mar. 2011	Apr. 2011	May 2011	Jun. 2011	Jul. 2011	Aug. 2011	Sep. 2011
Business day	20	20	21	19	19	22	20	19	22	20	23	20

### Number of Accounts (end of month)

Number of accounts	1,285,403	1,287,888	1,202,760	1,205,508	1,207,730	1,210,778	1,213,939	1,217,279	1,218,762	1,221,398	1,224,978	1,227,624
Number of margin accounts	75,246	75,415	73,989	74,293	74,505	74,962	75,257	75,518	75,677	75,759	75,916	76,160
Customers' assets in custody (in billion yen)	2,021.9	2,120.8	2,195.6	2,221.7	2,297.5	2,176.9	2,158.4	2,128.7	2,157.7	2,141.8	2,042.1	1,992.4

### Stock Brokerage

Average number of trades per business day	Cash	30,712	34,999	39,274	46,666	48,688	62,675	40,067	38,976	37,900	40,189	41,628	36,021
	Margin	22,859	27,682	28,604	33,299	33,367	37,971	27,997	24,435	23,172	24,169	24,393	23,253
	Total	53,571	62,682	67,878	79,965	82,056	100,647	68,065	63,411	61,073	64,359	66,022	59,274
Average trade value (in thousand yen)	Cash	344	370	377	392	424	403	360	329	315	361	335	307
	Margin	766	756	769	834	945	941	872	686	675	700	826	912
	Total	524	540	542	576	636	606	571	467	451	488	517	544
Monthly trade value (in billion yen)		562.2	678.8	773.2	875.6	992.2	1,343.4	777.5	562.7	607.1	628.8	785.4	645.5
Margin balance [End of month] (in billion yen)		121.8	114.9	123.8	134.5	142.4	113.6	117.6	121.4	120.7	118.0	116.3	120.5

### FX Trading (OTC only)

Number of accounts (End of month)	141,183	142,351	143,412	144,528	118,742	120,192	121,231	122,329	123,143	125,668	128,538	129,567
Average trade amount per business day (in million yen)	72,045	132,112	94,024	94,055	91,992	107,978	100,047	90,679	63,879	61,666	126,929	<u>107,151</u>

### DARTs

Number of DARTs (per business day)											124,367	158,666	142,434
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(Notes about the number of accounts)

1. The number of accounts from December 2010 includes accounts that trade only by the phone. The number of accounts from June 2011 is the number of issued login IDs.
2. The number of accounts from October to December 2010 includes duplicated accounts due to the merger of Monex, Inc. and former ORIX Securities Corporation (OSC), and duplicated accounts taken over from OSC. 100,155 securities accounts (including 1,607 margin accounts) were closed in December 2010.
3. 1,043 duplicated accounts were closed in June 2011, and the numbers in the table reflect the closures.
4. Decrease in the number of FX trading accounts in February 2011 is due to the termination of ORIX FX service.

(Note about the customers' assets in custody)

Customers' assets in custody include securities that have been lent to Monex, Inc. through the Securities Lending Service Program.

(Note about stock brokerage)

"Stock Brokerage" data include stocks, ETFs and REITs traded in Japanese markets only.

(Note about the number of DARTs)

DARTs (Daily Average Revenue Trades) of Monex, Inc. comprises trades of stock brokerage, futures and options, OTC FX, OSE-FX, mutual funds, and TFX-CFD.

**TradeStation Securities, Inc. and TradeStation Forex, Inc.**

	Oct. 2010	Nov. 2010	Dec. 2010	Jan. 2011	Feb. 2011	Mar. 2011	Apr. 2011	May 2011	Jun. 2011	Jul. 2011	Aug. 2011	Sep. 2011
Business day	21	20.5	22	20	19	23	20	21	22	20	23	21

**(in million dollars)**

Total client assets (end of month)	2,288	2,288	2,361	2,358	2,388	2,443	2,531	2,540	2,494	2,506	2,493	2,423
Average equities clients credit balance	950	942	949	985	1,007	1,019	1,041	1,059	1,082	1,105	1,095	1,120
Average equities margin clients debit balance	55	63	67	66	66	75	75	69	69	63	66	64

**DARTs**

Number of DARTs (per business day)	75,850	80,447	64,990	83,737	84,760	87,944	77,654	81,842	79,002	135,912	178,647	169,075
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- Note)
1. DARTs of TradeStation Securities, Inc. and TradeStation Forex, Inc. include the daily average revenue trades of stocks, futures, options and forex.
  2. The calculation methods of DARTs at TradeStation Securities, Inc. and TradeStation Forex, Inc. have been changed so that the methods are consistent with those of Monex, Inc. Such amendments are reflected in the numbers from July 2011.. Following the previous calculation methods, the number of DARTs would be 79,069, 104,234 and 93,643 in July, August and September 2011, respectively.

**Global DARTs**

Number of DARTs (per business day)										277,000	355,890	329,375
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- Note)
1. Global DARTs include the DARTs of Monex, Inc., Monex FX, Inc., TradeStation Securities, Inc., TradeStation Forex, Inc. and Monex Boom Securities (H.K.) Limited.
  2. Due to the change of calculation methods of DARTs at TradeStation Securities, Inc. and TradeStation Forex, Inc., the number of DARTs in July and August 2011 have been amended from previous disclosures.

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