

October 2014: Monthly Disclosure

TOKYO, November 6, 2014 – Monex Group, Inc. (TSE 8698, Oki Matsumoto, Representative Executive Officer and CEO) today reported the following monthly business metrics of its subsidiaries in October 2014.

Global DARTs

	Oct. 2013	Nov. 2013	Dec. 2013	Jan. 2014	Feb. 2014	Mar. 2014	Apr. 2014	May 2014	Jun. 2014	Jul. 2014	Aug. 2014	Sep. 2014	Oct. 2014
Number of DARTs (per business day)	340,217	341,269	347,664	397,888	361,782	340,766	301,205	289,075	306,602	294,064	289,854	323,299	330,208

Global FX Volume

Monthly trading value (in billion dollars)	40.8	40.3	41.9	53.4	44.2	40.5	33.3	32.8	31.5	29.0	29.3	43.5	52.4

- (Notes)
1. DARTs stand for Daily Average Revenue Trades meaning the number of trades and transactions per day which generate revenue.
 2. Global DARTs are the sum of the DARTs of subsidiary securities companies and FX companies under Monex Group, Inc.
 3. Global FX Volume are the sum of the trading volume per month of subsidiary securities companies and FX companies under Monex Group, Inc.

Monex, Inc.

	Oct. 2013	Nov. 2013	Dec. 2013	Jan. 2014	Feb. 2014	Mar. 2014	Apr. 2014	May 2014	Jun. 2014	Jul. 2014	Aug. 2014	Sep. 2014	Oct. 2014
Number of business days	22	20	20	19	19	20	21	20	21	22	21	20	22

Number of Accounts (end of month)

Number of active accounts	877,852	881,135	884,414	887,034	891,498	894,640	896,957	898,316	901,707	904,087	907,340	911,613	916,001
Number of active margin accounts	46,726	47,092	47,544	48,021	48,385	48,622	48,287	47,608	47,404	47,235	47,270	47,379	47,510
Customers' assets in custody (in billion yen)	3,109.3	3,219.5	3,301.8	3,196.0	3,173.3	3,149.9	3,077.9	3,140.6	3,277.1	3,328.8	3,333.4	3,378.4	3,391.5

Stock Brokerage

Average number of trades per business day	Cash	81,963	83,026	98,023	94,839	77,023	67,750	59,027	62,073	76,226	73,792	75,901	81,889	76,242
	Margin	47,534	43,671	47,276	52,153	46,305	36,863	32,637	35,043	45,770	41,138	41,243	42,253	40,342
	Total	129,498	126,697	145,299	146,993	123,329	104,613	91,664	97,116	121,997	114,930	117,144	124,143	116,584
Average trade value (in thousand yen)	Cash	439	467	512	468	433	411	381	389	446	429	433	445	431
	Margin	841	1,048	1,110	990	814	894	753	845	932	833	853	862	804
	Total	587	667	706	653	576	581	513	554	629	574	581	587	560
Monthly trade value (in billion yen)	1,672.9	1,692.2	2,054.3	1,825.5	1,350.6	1,217.1	989.3	1,076.4	1,611.6	1,452.2	1,430.7	1,458.0	1,438.0	
Margin balance [end of month] (in billion yen)	191.4	195.9	210.1	216.3	194.0	189.9	185.2	172.7	180.7	175.7	181.5	181.6	186.4	

FX Trading (OTC FX)

Number of OTC FX's active accounts (end of month)	64,355	63,824	63,457	62,929	62,664	62,484	62,539	62,295	62,054	61,947	62,024	62,348	62,775
Average trade value per business day (in million yen)	99,856	110,645	140,647	167,849	139,033	115,209	80,087	77,293	65,594	53,790	64,557	138,568	191,618

DARTs

Number of DARTs (per business day)	178,671	179,831	200,067	214,971	180,843	159,201	134,979	138,816	159,516	150,927	154,996	180,482	184,775
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(Notes about the number of accounts)

Active accounts (including margin accounts and FX accounts) mean the accounts with balance (margin balance or fund for collateral for margin accounts, fund for collateral for FX accounts) or the accounts which have traded (including withdrawal) in the past 1 year.

(Note about the customers' assets in custody)

Customers' assets in custody include securities that have been lent to Monex, Inc. through the securities lending service program.

(Note about stock brokerage)

"Stock Brokerage" data include stocks, ETFs and REITs traded only in Japanese markets.

(Note about the number of OTC FX active accounts in FX Trading (OTC FX))

Monex, Inc. provides several services of FX Trading (OTC FX) and the number of FX active accounts excludes duplicate active accounts at Monex, Inc. from the gross total number of OTC FX active accounts.

(Note about DARTs of Monex, Inc.)

DARTs of Monex, Inc. comprise trades of stock brokerage, futures and options, OTC FX, OSE-FX, mutual funds, TFX-CFD and bullion (gold, silver & platinum).

TradeStation Group

	Oct. 2013	Nov. 2013	Dec. 2013	Jan. 2014	Feb. 2014	Mar. 2014	Apr. 2014	May 2014	Jun. 2014	Jul. 2014	Aug. 2014	Sep. 2014	Oct. 2014
Number of business days	23	19.5	20.5	21	19	21	21	21	21	21.5	21	21	23

Number of active accounts (end of month)	79,774	80,180	80,595	81,376	82,105	83,167	83,817	84,538	85,217	85,488	85,991	62,531	62,984
Total client assets [end of month] (in million dollars)	3,464	3,490	3,537	3,521	3,564	3,587	3,574	3,600	3,745	3,672	3,761	3,622	3,685
Average equities clients credit balance (in million dollars)	1,169	1,205	1,191	1,197	1,196	1,223	1,216	1,189	1,204	1,215	1,228	1,244	1,244
Average equities margin clients debit balance (in million dollars)	76	68	67	73	81	72	74	74	74	76	81	80	78

DARTs

Number of DARTs (per business day)	161,556	161,694	148,237	183,265	181,291	181,781	166,459	150,491	147,300	143,404	134,822	142,884	145,670
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- (Notes)
1. Active accounts of TradeStation Group mean the accounts (equities, futures, FX etc), under the name of TradeStation service, whose balance is more than 200 dollars or which have traded in the past 6 months, and FX accounts with balance, which TradeStation offers under the name of IBFX service.
 2. TradeStation Group has two FX services: one offered under the name of TradeStation and the other offered under the name of IBFX. The FX accounts under the name of IBFX service were transferred to FXCM Holdings, LLC. on September 19, 2014.
85,991 active accounts as of August 2014 included 23,977 of FX accounts offered under the name of IBFX service.
 3. DARTs of TradeStation Group are the number of trades associated with revenue of subsidiary securities company and FX companies of TradeStation Group Inc. DARTs mainly include the DARTs of stocks, futures, options and OTC FX.

*The numbers reported above are what we have been reported from subsidiaries as of today and may be amended in the future.