

August 2014: Monthly Disclosure

TOKYO, September 4, 2014 – Monex Group, Inc. (TSE 8698, Oki Matsumoto, Representative Executive Officer and CEO) today reported the following monthly business metrics of its subsidiaries in August 2014.

Global DARTs

| | Aug. 2013 | Sep. 2013 | Oct. 2013 | Nov. 2013 | Dec. 2013 | Jan. 2014 | Feb. 2014 | Mar. 2014 | Apr. 2014 | May 2014 | Jun. 2014 | Jul. 2014 | Aug. 2014 |
|------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|----------|-----------|-----------|-----------|
| Number of DARTs (per business day) | 335,284 | 356,631 | 340,217 | 341,269 | 347,664 | 397,888 | 361,782 | 340,766 | 301,205 | 289,075 | 306,602 | 294,064 | 289,854 |

Global FX Volume

| Monthly trading value (in billion dollars) | 50.8 | 47.4 | 40.8 | 40.3 | 41.9 | 53.4 | 44.2 | 40.5 | 33.3 | 32.8 | 31.5 | 29.0 | 29.3 |
|--|------|------|------|------|------|------|------|------|------|------|------|------|------|
| | | | | | | | | | | | | | |

- (Notes)
1. DARTs stand for Daily Average Revenue Trades meaning the number of trades and transactions per day which generate revenue.
 2. Global DARTs are the sum of the DARTs of subsidiary securities companies and FX companies under Monex Group, Inc.
 3. Global FX Volume are the sum of the trading volume per month of subsidiary securities companies and FX companies under Monex Group, Inc.

Monex, Inc.

| | Aug. 2013 | Sep. 2013 | Oct. 2013 | Nov. 2013 | Dec. 2013 | Jan. 2014 | Feb. 2014 | Mar. 2014 | Apr. 2014 | May 2014 | Jun. 2014 | Jul. 2014 | Aug. 2014 |
|-------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|----------|-----------|-----------|-----------|
| Number of business days | 22 | 19 | 22 | 20 | 20 | 19 | 19 | 20 | 21 | 20 | 21 | 22 | 21 |

Number of Accounts (end of month)

| | | | | | | | | | | | | | |
|---|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| Number of active accounts | 873,053 | 875,743 | 877,852 | 881,135 | 884,414 | 887,034 | 891,498 | 894,640 | 896,957 | 898,316 | 901,707 | 904,087 | 907,340 |
| Number of active margin accounts | 45,911 | 46,374 | 46,726 | 47,092 | 47,544 | 48,021 | 48,385 | 48,622 | 48,287 | 47,608 | 47,404 | 47,235 | 47,270 |
| Customers' assets in custody (in billion yen) | 2,933.5 | 3,126.2 | 3,109.3 | 3,219.5 | 3,301.8 | 3,196.0 | 3,173.3 | 3,149.9 | 3,077.9 | 3,140.6 | 3,277.1 | 3,328.8 | 3,333.4 |

Stock Brokerage

| | | | | | | | | | | | | | | |
|--|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| Average number of trades per business day | Cash | 67,772 | 87,924 | 81,963 | 83,026 | 98,023 | 94,839 | 77,023 | 67,750 | 59,027 | 62,073 | 76,226 | 73,792 | 75,901 |
| | Margin | 38,956 | 49,429 | 47,534 | 43,671 | 47,276 | 52,153 | 46,305 | 36,863 | 32,637 | 35,043 | 45,770 | 41,138 | 41,243 |
| | Total | 106,729 | 137,354 | 129,498 | 126,697 | 145,299 | 146,993 | 123,329 | 104,613 | 91,664 | 97,116 | 121,997 | 114,930 | 117,144 |
| Average trade value (in thousand yen) | Cash | 424 | 447 | 439 | 467 | 512 | 468 | 433 | 411 | 381 | 389 | 446 | 429 | 433 |
| | Margin | 891 | 915 | 841 | 1,048 | 1,110 | 990 | 814 | 894 | 753 | 845 | 932 | 833 | 853 |
| | Total | 595 | 615 | 587 | 667 | 706 | 653 | 576 | 581 | 513 | 554 | 629 | 574 | 581 |
| Monthly trade value (in billion yen) | 1,397.5 | 1,607.2 | 1,672.9 | 1,692.2 | 2,054.3 | 1,825.5 | 1,350.6 | 1,217.1 | 989.3 | 1,076.4 | 1,611.6 | 1,452.2 | 1,430.7 | |
| Margin balance [end of month] (in billion yen) | 183.4 | 181.5 | 191.4 | 195.9 | 210.1 | 216.3 | 194.0 | 189.9 | 185.2 | 172.7 | 180.7 | 175.7 | 181.5 | |

FX Trading (OTC FX)

| | | | | | | | | | | | | | |
|---|---------|---------|--------|---------|---------|---------|---------|---------|--------|--------|--------|--------|--------|
| Number of OTC FX's active accounts (end of month) | 65,242 | 64,833 | 64,355 | 63,824 | 63,457 | 62,929 | 62,664 | 62,484 | 62,539 | 62,295 | 62,054 | 61,947 | 62,024 |
| Average trade value per business day (in million yen) | 113,247 | 133,319 | 99,856 | 110,645 | 140,647 | 167,849 | 139,033 | 115,209 | 80,087 | 77,293 | 65,594 | 53,790 | 64,557 |

DARTs

| | | | | | | | | | | | | | |
|------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| Number of DARTs (per business day) | 162,810 | 196,365 | 178,671 | 179,831 | 200,067 | 214,971 | 180,843 | 159,201 | 134,979 | 138,816 | 159,516 | 150,927 | 154,996 |
|------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|

(Notes about the number of accounts)

Active accounts (including margin accounts and FX accounts) mean the accounts with balance (margin balance or fund for collateral for margin accounts, fund for collateral for FX accounts) or the accounts which have traded (including withdrawal) in the past 1 year.

(Note about the customers' assets in custody)

Customers' assets in custody include securities that have been lent to Monex, Inc. through the securities lending service program.

(Note about stock brokerage)

"Stock Brokerage" data include stocks, ETFs and REITs traded only in Japanese markets.

(Notes about the number of OTC FX active accounts in FX Trading (OTC FX))

Monex, Inc. provides several services of FX Trading (OTC FX) and the number of FX active accounts exclude duplicate active accounts at Monex, Inc. from the gross total number of OTC FX active accounts.

(Note about DARTs of Monex, Inc.)

DARTs of Monex, Inc. comprise trades of stock brokerage, futures and options, OTC FX, OSE-FX, mutual funds, TFX-CFD and bullion (gold, silver & platinum).

TradeStation Group

| | Jul. 2013 | Aug. 2013 | Sep. 2013 | Oct. 2013 | Nov. 2013 | Dec. 2013 | Jan. 2014 | Feb. 2014 | Mar. 2014 | Apr. 2014 | May 2014 | Jun. 2014 | Aug. 2014 |
|-------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|----------|-----------|-----------|
| Number of business days | 22 | 20 | 23 | 19.5 | 20.5 | 21 | 19 | 21 | 21 | 21 | 21 | 21.5 | 21 |

| | | | | | | | | | | | | | |
|--|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Number of active accounts (end of month) | 78,968 | 79,328 | 79,774 | 80,180 | 80,595 | 81,376 | 82,105 | 83,167 | 83,817 | 84,538 | 85,217 | 85,488 | 85,991 |
| Total client assets [end of month] (in million dollars) | 3,308 | 3,365 | 3,464 | 3,490 | 3,537 | 3,521 | 3,564 | 3,587 | 3,574 | 3,600 | 3,745 | 3,672 | 3,761 |
| Average equities clients credit balance (in million dollars) | 1,159 | 1,172 | 1,169 | 1,205 | 1,191 | 1,197 | 1,196 | 1,223 | 1,216 | 1,189 | 1,204 | 1,215 | 1,228 |
| Average equities margin clients debit balance (in million dollars) | 62 | 70 | 76 | 68 | 67 | 73 | 81 | 72 | 74 | 74 | 74 | 76 | 81 |

DARTs

| | | | | | | | | | | | | | |
|------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| Number of DARTs (per business day) | 172,226 | 160,196 | 161,556 | 161,694 | 148,237 | 183,265 | 181,291 | 181,781 | 166,459 | 150,491 | 147,300 | 143,404 | 134,822 |
|------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|

(Notes) 1. Active accounts of TradeStation Group mean the accounts, under the name of TradeStation service, whose balance is more than 200 dollars or which have traded in the past 6 months, and FX accounts with balance, which TradeStation offers under the name of IBFX service.

2. DARTs of TradeStation Group are the number of trades associated with revenue of subsidiary securities company and FX companies of TradeStation Group Inc. DARTs mainly include the DARTs of stocks, futures, options and OTC FX.

*The numbers reported above are what we have been reported from subsidiaries as of today and may be amended in the future.